



PA Annual Report

Report on the Privacy Act
2013-2014

AECL's Access to Information and Privacy Office

**177-511600-041-010
Revision 0**

2014 June

juin 2014

UNRESTRICTED

ILLIMITÉ

© Atomic Energy of Canada
Limited

© Énergie atomique du
Canada limitée

112 Kent Street, Suite 501
Ottawa, Ontario
K1A 0S4

112, rue Kent, bureau 501
Ottawa (Ontario)
K1A 0S4



PA Annual Report

Report on the Privacy Act
2013-2014

AECL's Access to Information and Privacy Office

177-511600-041-010

Revision 0

Prepared by

Isabelle Verdon, Senior Analyst, Access to
Information and Privacy

Reviewed by

Doug Christensen, General Manager,
Corporate Affairs

Approved by

Jean Boulais, Director, Access to Information
and Privacy

2014 June

juin 2014

UNRESTRICTED

ILLIMITÉ

© Atomic Energy of Canada
Limited

© Énergie atomique du
Canada limitée

112 Kent Street, Suite 501
Ottawa, Ontario
K1A 0S4

112, rue Kent, bureau 501
Ottawa (Ontario)
K1A 0S4

TABLE OF CONTENTS

SECTION	PAGE
1. INTRODUCTION	1
2. STRUCTURE OF AECL’S ACCESS TO INFORMATION AND PRIVACY OFFICE	3
2.1 ATIP’s Organizational Chart	4
2.2 Accountability of the Access to Information and Privacy Office.....	4
2.2.1 Processing Procedures	4
3. PRIVACY DELEGATION ORDER.....	5
3.1 Sections of the Privacy Act Authority	5
3.2 Privacy Act Delegation Order.....	6
4. 2013-2014 STATISTICAL REPORT ON THE <i>PRIVACY ACT</i>	9
4.1 Interpretation of the 2013-2014 Statistical Report on the <i>Privacy Act</i>	16
4.1.1 Part 1 – Requests under the <i>Privacy Act</i>	16
4.1.2 Part 2 – Requests Closed During the Reporting Period.....	16
4.1.3 Part 3 – Disclosures under Subsection 8(2)	17
4.1.4 Part 4 – Requests for Correction of Personal Information and Notations	17
4.1.5 Part 5 – Extensions.....	17
4.1.6 Part 6 – Consultations Received from Other Institutions and Organizations	17
4.1.7 Part 7 – Completion Time of Consultations on Cabinet confidences.....	17
4.1.8 Part 8 – Resources Related to the Privacy Act	17
4.1.9 Appendix A – Additional Reporting Requirements - <i>Privacy Act</i>	18
4.2 Multi-Year Trends	18
5. TRAINING	18
6. INSITUTION-SPECIFIC POLICIES, GUIDELINES AND PROCEDURES RELATED TO PRIVACY	19
7. COMPLAINTS AND INVESTIGATIONS	19
8. MONITORING PROCESSING TIME.....	20
9. PRIVACY BREACHES	20
10. PRIVACY IMPACT ASSESSMENT	20

TABLE OF CONTENTS

SECTION		PAGE
11.	DISCLOSURES PURSUANT TO 8(2)(M)	21

1. INTRODUCTION

Atomic Energy of Canada Limited (AECL) is an agent Crown corporation reporting to Parliament through the Minister of Natural Resources Canada. AECL is headquartered in Chalk River, Ontario and employs more than 3,300 full-time staff.

AECL provides value to Canadians as: an advisor to, and agent of, the Government of Canada for public policy purposes; an enabler of business innovation and technology transfer; and a generator of highly-qualified people.

AECL's vision is to be a global partner in nuclear innovation. The company's mandate is to ensure that Canadians and the world receive energy, health, environmental and economic benefits from nuclear science and technology, with confidence that nuclear safety and security are assured.

On September 1, 2007 the *Federal Accountability Act* amended the *Privacy Act* to include AECL. The purpose of the *Privacy Act* is to protect the privacy of individuals with respect to personal information about themselves held by AECL and provides individuals with a right to access and request correction to this information.

Section 72 of the *Privacy Act* stipulates that, at the end of each fiscal year, the head of each Government of Canada institution must prepare for submission to Parliament an annual report on the administration of this Act within their respective institution.

This *Privacy Act* annual report is prepared and tabled in accordance with section 72 of the *Privacy Act*. The report provides a summary of the management and activities related to the implementation of the *Privacy Act* within AECL for the fiscal year 2013-2014.

2013-2014 Highlights

- AECL received a total of 17 requests in 2013-2014 for personal information subject to the *Privacy Act*. Of the total 17 requests, 16 were completed and one was carried forward to the next fiscal year.
- Fifteen requests were completed within 30 days and one request was completed between 31 to 60 days. Six requests were disclosed in part and eight others were disclosed in full. AECL was unable to process one request as the requested information did not exist within AECL's custody and control and the last request was abandoned. A total of 1264 pages were reviewed.
- AECL worked to identify the collections of personal information. AECL registered nine new "Standard Personal Information Banks (PIBs)" and updated six "Institution Specific Personal Information Banks" with the Information and Privacy Policy Division at Treasury Board Secretariat.
- Two information sessions on the *Privacy Act* were given to approximately 45 employees and senior managers from the Low-Level Waste Management Office and the Port Hope Area Initiative Office.
- AECL did not disclose any personal information pursuant to subsection 8(2)(m) of the *Privacy Act*.
- In support of the decentralized self-publishing of institutional *Info Source* chapters, AECL's 2013-2014 *Info Source* Chapter can now be found on AECL's external website at <http://www.aecl.ca/en/home/news-and-publications/access-to-information/default.aspx>
- AECL has completed two Privacy Impact Assessments during this reporting period.

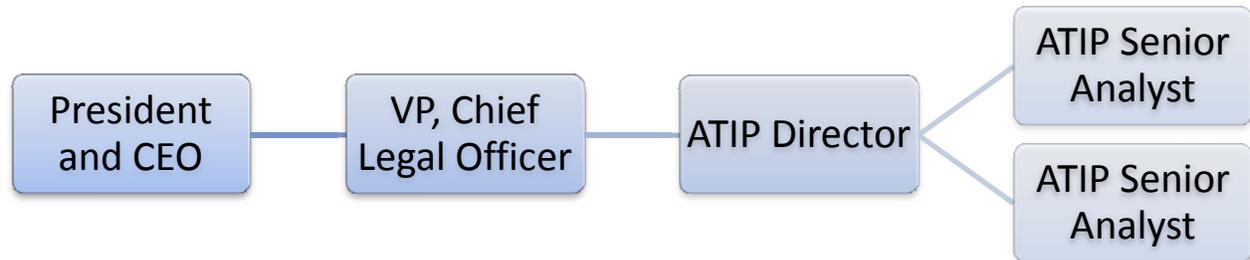
2. STRUCTURE OF AECL'S ACCESS TO INFORMATION AND PRIVACY OFFICE

AECL undertakes a series of Program Activities, identified below, that have been established to fulfil the company's strategic outcome. These programs are aligned with and support the Government of Canada's science and technology priorities for a clean and healthy environment; healthy Canadians; a safe and secure Canada; and an innovative and knowledge-based economy.

Nuclear Industry Capability	<i>Ensures that the Canadian nuclear sector remains safe and productive, with access to science and technology resources to address emergent technological challenges, and that Canada maintains a strong nuclear power sector.</i>
Nuclear Safety & Security	<i>Ensures that federal activities, regulations and policies, related to nuclear or radiological issues, are supported by the necessary expertise and facilities.</i>
Clean, Safe Energy	<i>Involves the development of energy technologies that make a beneficial impact on Canada's use of clean energy.</i>
Health, Isotopes & Radiation	<i>Ensures that Canadians experience health benefits from nuclear science and technology.</i>
Nuclear Environmental Stewardship	<i>Ensures that Canada's federal nuclear sites are clean and healthy environments.</i>
Nuclear Innovation Networks	<i>Ensures that the Canadian science and technology communities can advance their innovation agendas through access to federal nuclear innovation infrastructure and expertise.</i>
Mission-Ready Science & Technology Infrastructure	<i>Ensures that scientists and engineers from AECL and its partner organizations have access to licensed facilities and services that enable nuclear innovation and production in a safe campus environment that is fully compliant with all legislation for conducting nuclear-related activities.</i>
Internal Services	<i>Ensures the business and administrative support functions and infrastructure to enable the efficient and effective delivery of the above programs.</i>

To fulfill *Privacy Act* responsibilities, AECL established an Access to Information and Privacy (ATIP) Office (ATIPO) in Ottawa, Ontario. The ATIPO consists of two ATIP senior analysts along with a Director who reports directly to the Vice-President, Chief Legal Officer under the Internal Services program activity.

2.1 ATIP's Organizational Chart



2.2 Accountability of the Access to Information and Privacy Office

AECL uses the same organizational structure for the administration of the *Privacy Act* as the *Access to Information Act*. On behalf of the President & CEO, the ATIP Director and the ATIPO ensure that the Corporation meets all of its obligations under the *Privacy Act* and deals with all applicants fairly and consistently.

Information for a *Privacy Act* request is collected directly from the individual at AECL who holds that information. Approval of the application of exemptions is limited in order to protect the privacy of the applicant. The final decisions on severances, exemptions and exclusions are the responsibility of the ATIP Director.

2.2.1 Processing Procedures

The processing of *Privacy Act* requests is centralized at the ATIP Office in Ottawa. AECL's ATIP Director remains accountable for the development, coordination and implementation of effective policies, guidelines, systems and procedures that enable the efficient processing of requests under the *Access to Information* and *Privacy Acts*. The Director is also responsible for related policies, systems and procedures resulting from the *Acts*, such as privacy protection and the collection, inventory and security of personal information banks. The Director acts as the point of contact for the Institution in dealings with the ATIP Directorate at Treasury Board Secretariat and ATIP counterparts at Government of Canada departments and agencies.

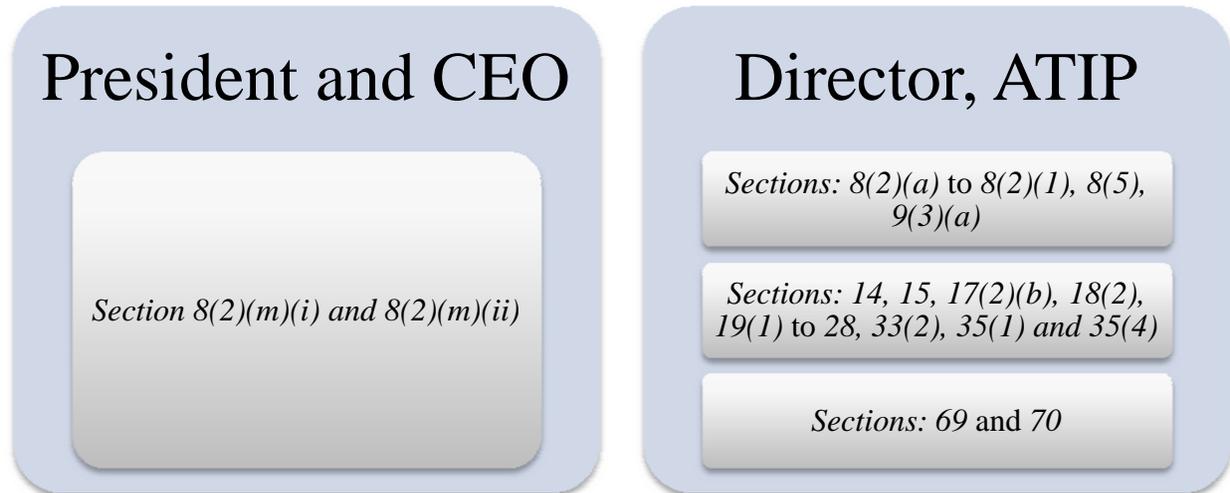
The ATIPO is responsible for integrating procedures and services for the efficient administration of the *Access to Information* and *Privacy Acts*. In addition to the processing of formal and informal requests, consultations and complaints, the ATIPO also provides advice and assistance to line operations on all aspects of the legislation, and prepares statistical and status reports for senior management.

All formal requests for personal information under the *Privacy Act* are forwarded to AECL's ATIPO, where they are reviewed for clarity and conformity with the legislation.

3. PRIVACY DELEGATION ORDER

Section 73 of the *Privacy Act* authorizes the head of AECL to designate, by order, one or more officers or employees to exercise or perform any powers, duties or functions of the head of AECL that are specified in the order. Delegation is entirely at the discretion of AECL's President & CEO. To ensure ongoing safeguarding and respect for the rights of applicants and the legislative provisions of the *Privacy Act*, the authority to disclose and/or exempt personal information is limited to the ATIP Director, except to approve disclosure of information in the public interest or to benefit the individual 8(2)(m)(i)and(ii).

3.1 Sections of the Privacy Act Authority



3.2 Privacy Act Delegation Order

<p>PRIVACY ACT DESIGNATION ORDER</p> <p>The President and CEO of Atomic Energy of Canada Limited pursuant to section 73 of the <i>Privacy Act</i>, hereby designates the person holding the position set out in the schedule hereto to exercise the powers and perform the duties and functions of the President and CEO of AECL as the head of a government institution under the paragraph of the Act set out in the schedule opposite each position.</p>		<p>ARRETÉ SUR DE LA LOI RENSEIGNEMENTS PERSONNELS</p> <p>En vertu de l'article 73 de la <i>Loi sur la protection des renseignements personnels</i>, le Président-directeur général de Énergie atomique du Canada limitée délègue au titulaire du poste mentionné à l'annexe ci-après les attributions dont il est, en qualité de responsable d'une institution fédérale, investi par les alinéas de Loi mentionnés dans la liste en regard de chaque poste.</p>	
		<p>ATIP Director Directeur AIPRP</p>	
8(2)(a)	<p>Approve disclosure of information – original purpose or consistent use Approuver la communication des renseignements – aux fins auxquelles ils ont été recueillis ou usages compatibles</p>	F	
8(2)(b)	<p>Approve disclosure of information – in accordance with any Act of Parliament Approuver la communication des renseignements – aux fins conformes avec les lois du Parlement</p>	F	
8(2)(c)	<p>Approve disclosure of information – subpoena, warrant or court order Approuver la communication des renseignements – subpoena, mandat ou ordonnance d'une court</p>	F	
8(2)(d)	<p>Approve disclosure of information – to Attorney General or legal proceedings Approuver la communication des renseignements – au Procureur général du Canada pour des poursuites judiciaires</p>	F	
8(2)(e)	<p>Approve disclosure of information – to an investigative body Approuver la communication des renseignements – à un organisme d'enquête</p>	F	
8(2)(f)	<p>Approve disclosure of information - to other governments for law enforcement Approuver la communication des renseignements - à d'autres gouvernement en vue de l'application des lois</p>	F	
8(2)(g)	<p>Approve disclosure of information – to a member of Parliament assisting the individual Approuver la communication des renseignements – à un parlementaire fédéral en vue d'aider l'individu concerné</p>	F	
8(2)(h)	<p>Approve disclosure of information – for audit purposes Approuver la communication des renseignements – aux fins de vérifications</p>	F	
8(2)(i)	<p>Approve disclosure of information – for archival purposes Approuver la communication des renseignements – aux archives publiques pour dépôt</p>	F	
8(2)(j)	<p>Approve disclosure of information – for research or statistical purposes Approuver la communication des renseignements – pour des travaux de recherche ou de statistique</p>	F	
8(2)(k)	<p>Approve disclosure of information – for aboriginal claims Approuver la communication des renseignements – pour l'établissement des droits des peuples autochtones</p>	F	

		ATIP Director Directeur ATIPRP
8(2)(l)	Approve disclosure of information – for collection of payment of a crown debt Approuver la communication des renseignements – pour recouvrer ou acquitter une créance de la Couronne	F
8(2)(m)(i)	Approve disclosure of information – in public interest Approuver la communication des renseignements - dans l'intérêt public	See note*
8(2)(m)(ii)	Approve disclosure of information – to benefit the individual Approuver la communication des renseignements – dans le cas où l'individu concerné en tirerait un avantage certain	See note*
8(5)	Notify Privacy Commissioner of disclosure under 8(2)(m) Aviser le Commissaire à la protection de la vie privée de la communication dans le cas prévu à l'alinéa 8(2)(m)	F
9(3)(a)	Notify Privacy Commissioner of new consistent uses Aviser le Commissaire à la protection de la vie privée de nouveaux usages compatibles	F
14	Notify requester whether or not access is to be given and where appropriate give access Aviser le requérant si le document sera communiqué ou non et le cas échéant, communiquer les documents	F
15	Extend time limits Proroger le délai	F
17(2)(b)	Translate records Traduire des documents	F
18(2)	Refuse to disclosure information in an exempt bank Refuser de communiquer des renseignements contenus dans des fichiers inconsultables	F
19(1)	Apply exemption – personal information obtained in confidence Appliquer l'exception – renseignements personnels obtenus à titre confidentiel	F
19(2)	Approve disclosure of information obtained in confidence Approuver la communication des renseignements obtenus à titre confidentiel	F
20	Apply exemption – federal-provincial affairs Appliquer l'exception – affaires fédérales-provinciales	F
21	Apply exemption – international affairs and defence Appliquer l'exception – affaires internationales et défense	F
22(1)	Apply exemption – law enforcement and investigation Appliquer l'exception – application des lois et enquêtes	F
22(2)	Apply exemption – policing services for provinces and municipalities Appliquer l'exception – fonctions de police provinciale ou municipale	F
22(3)	Public Servant disclosure protection Protection des fonctionnaires divulgateurs d'actes répréhensibles	F
23	Apply exemption – security clearances Appliquer l'exception – enquêtes de sécurité	F
24	Apply exemption – individuals sentenced for an offence	

	Appliquer l'exception – individus condamnés pour une infraction	F
		ATIP Director Directeur AIPRP
25	Apply exemption – safety of individuals Appliquer l'exception – sécurité des individus	F
26	Apply exemption – personal information about another individual Appliquer l'exception – renseignements personnels concernant un autre individu	F
27	Apply exemption - solicitor-client privilege Appliquer l'exception – secret professionnel des avocats	F
28	Apply exemption – medical record Appliquer l'exception – dossiers médicaux	F
33(2)	Make representations to the Privacy Commissioner Présenter des observations du Commissaire à la protection de la vie privée	F
35(1)	Notify Privacy Commissioner of Institution's intent regarding recommendations Aviser le Commissaire à la protection de la vie privée de toute mesure prise ou envisagée par l'institution pour la mise en œuvre de ses recommandations	F
35(4)	Approve disclosure of information based on recommendation of Privacy Commissioner Approuver la communication des renseignements suite aux recommandations du Commissaire à la protection de la vie privée	F
69, 70	Notify individual that requested information is excluded Aviser l'individu que le document demandé est exclu	F

Notes:

"F" Full authority to the ATIP Director

* Full authority remains with the President & CEO of AECL.



Dr. Robert Walker, President & CEO of Atomic Energy of Canada Limited
M. Robert Walker, Ph.D., Président-directeur général, Énergie atomique du Canada limitée

2011 Oct 12

Date

4. 2013-2014 STATISTICAL REPORT ON THE *PRIVACY ACT*

Government of Canada
Gouvernement du Canada

Statistical Report on the *Privacy Act*

Name of Institution: Access to Information & Privacy

Reporting Period: 01-04-2013 to 31-03-2014

PART 1 - Requests under the Privacy Act

	Number of Requests
Received during reporting period	16
Outstanding from previous reporting period	1
Total	17
Closed during reporting period	16
Carried over to next reporting period	1

PART 2 - Requests closed during the reporting period

2.1 Disposition and completion time

Disposition of requests	Completion Time							Total
	1 to 15 days	16 to 30 days	31 to 60 days	61 to 120 days	121 to 180 days	181 to 365 days	More than 365 days	
All disclosed	8	0	0	0	0	0	0	8
Disclosed in part	2	3	1	0	0	0	0	6
All exempted	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0
No records exist	1	0	0	0	0	0	0	1
Request abandoned	1	0	0	0	0	0	0	1
Total	12	3	1	0	0	0	0	16

2.2 Exemptions

Section	Number of requests	Section	Number of requests	Section	Number of requests
18(2)	0	22(1)(a)(i)	0	23(a)	0
19(1)(a)	0	22(1)(a)(ii)	0	23(b)	0
19(1)(b)	0	22(1)(a)(iii)	0	24(a)	0
19(1)(c)	0	22(1)(b)	1	24(b)	0
19(1)(d)	0	22(1)(c)	0	25	1
19(1)(e)	0	22(2)	0	26	6
19(1)(f)	0	22.1	0	27	0
20	0	22.2	0	28	0
21	1	22.3	0		

2.3 Exclusions

Section	Number of requests	Section	Number of requests	Section	Number of requests
69(1)(a)	0	70(1)(a)	0	70(1)(d)	0
69(1)(b)	0	70(1)(b)	0	70(1)(e)	0
69.1	0	70(1)(c)	0	70(1)(f)	0
				70.1	0

2.4 Format of information released

Disposition	Paper	Electronic	Other formats
All disclosed	7	1	0
Disclosed in part	6	0	0
Total	13	1	0

2.5 Complexity

2.5.1 Relevant pages processed and disclosed

Disposition of requests	Number of pages processed	Number of pages disclosed	Number of requests
All disclosed	148	148	8
Disclosed in part	1116	990	6
All exempted	0	0	0
All excluded	0	0	0
Request Abandoned	0	0	1

2.5.2 Relevant pages processed and disclosed by size of requests

Disposition	Less than 100 pages processed		101-500 pages processed		501-1000 pages processed		1001-5000 pages processed		More than 5000 pages processed	
	Number of requests	Pages disclosed	Number of requests	Pages disclosed	Number of requests	Pages disclosed	Number of requests	Pages disclosed	Number of requests	Pages disclosed
All disclosed	8	148	0	0	0	0	0	0	0	0
Disclosed in part	1	40	5	950	0	0	0	0	0	0
All exempted	0	0	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0	0	0
Abandoned	1	0	0	0	0	0	0	0	0	0
Total	10	188	5	950	0	0	0	0	0	0

2.5.3 Other complexities

Disposition	Consultation required	Legal Advice Sought	Interwoven Information	Other	Total
All disclosed	0	0	0	0	0
Disclosed in part	1	0	0	0	1
All exempted	0	0	0	0	0
All excluded	0	0	0	0	0
Abandoned	0	0	0	0	0
Total	1	0	0	0	1

2.6 Deemed refusals

2.6.1 Reasons for not meeting statutory deadline

Number of requests closed past the statutory deadline	Principal Reason			
	Workload	External consultation	Internal consultation	Other
0	0	0	0	0

2.6.2 Number of days past deadline

Number of days past deadline	Number of requests past deadline where no extension was taken	Number of requests past deadline where an extension was taken	Total
1 to 15 days	0	0	0
16 to 30 days	0	0	0
31 to 60 days	0	0	0
61 to 120 days	0	0	0
121 to 180 days	0	0	0
181 to 365 days	0	0	0
More than 365 days	0	0	0
Total	0	0	0

2.7 Request for translation

Translation Requests	Accepted	Refused	Total
English to French	0	0	0
French to English	0	0	0
Total	0	0	0

PART 3 - Disclosures under subsection 8(2)

Paragraph 8(2)(e)	Paragraph 8(2)(m)	Total
0	0	0

PART 4 - Requests for correction of personal information and notations

	Number
Requests for correction received	0
Requests for correction accepted	0
Requests for correction refused	0
Notations attached	0

PART 5 - Extensions

5.1 Reasons for extensions and disposition of requests

Disposition of requests where an extension was taken	15(a)(i) Interference with operations	15(a)(ii) Consultation		15(b) Translation or conversion
		Section 70	Other	
All disclosed	0	0	0	0
Disclosed in part	0	0	1	0
All exempted	0	0	0	0
All excluded	0	0	0	0
No records exist	0	0	0	0
Request abandoned	0	0	0	0
Total	0	0	1	0

5.2 Length of extensions

Length of extensions	15(a)(i) Interference with operations	15(a)(ii) Consultation		15(b) Translation or conversion
		Section 70	Other	
1 to 15 days	0	0	0	0
16 to 30 days	0	0	1	0
Total	0	0	1	0

PART 6 - Consultations received from other institutions and organizations

6.1 Consultations received from other government institutions and organizations

Consultations	Other government institutions	Number of pages to review	Other organizations	Number of pages to review
Received during the reporting period	0	0	0	0
Outstanding from the previous reporting period	0	0	0	0
Total	0	0	0	0
Closed during the reporting period	0	0	0	0
Pending at the end of the reporting period	0	0	0	0

6.2 Recommendations and completion time for consultations received from other government institutions

Recommendations	Number of days required to complete consultation requests							Total
	1 to 15 days	16 to 30 days	31 to 60 days	61 to 120 days	121 to 180 days	181 to 365 days	More than 365 days	
Disclose entirely	0	0	0	0	0	0	0	0
Disclose in part	0	0	0	0	0	0	0	0
Exempt entirely	0	0	0	0	0	0	0	0
Exclude entirely	0	0	0	0	0	0	0	0
Consult other institution	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0

6.3 Recommendations and completion time for consultations received from other organizations

Recommendations	Number of days required to complete consultation requests							Total
	1 to 15 days	16 to 30 days	31 to 60 days	61 to 120 days	121 to 180 days	181 to 365 days	More than 365 days	
Disclose entirely	0	0	0	0	0	0	0	0
Disclose in part	0	0	0	0	0	0	0	0
Exempt entirely	0	0	0	0	0	0	0	0
Exclude entirely	0	0	0	0	0	0	0	0
Consult other institution	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0

PART 7 – Completion time of consultations on Cabinet confidences

Number of days	Number of responses received	Number of responses received past deadline
1 to 15	0	0
16 to 30	0	0
31 to 60	0	0
61 to 120	0	0
121 to 180	0	0
181 to 365	0	0
More than 365	0	0
Total	0	0

PART 8 – Resources related to the *Privacy Act*

8.1 Costs

Expenditures		Amount
Salaries		\$205,490
Overtime		\$0
Goods and Services		\$6,690
• Contracts for privacy impact assessments	\$0	
• Professional services contracts	\$0	
• Other	\$6,690	
Total		\$212,180

8.2 Human Resources

Resources	Dedicated full-time	Dedicated part-time	Total
Full-time employees	1.50	0.00	1.50
Part-time and casual employees	0.00	0.00	0.00
Regional staff	0.00	0.00	0.00
Consultants and agency personnel	0.00	0.00	0.00
Students	0.00	0.00	0.00
Total	1.50	0.00	1.50

Appendix A

Completed Privacy Impact Assessments (PIAs)

Institution	Number of Completed PIAs
Atomic Energy of Canada Limited	2

Completion Time of Consultations on Cabinet Confidences under the PA - Requests with Legal Services

Number of Days	Fewer Than 100 Pages Processed		101-500 Pages Processed		501-1,000 Pages Processed		1,001-5,000 Pages Processed		More Than 5,000 Pages Processed	
	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
1 to 15	0	0	0	0	0	0	0	0	0	0
16 to 30	0	0	0	0	0	0	0	0	0	0
31 to 60	0	0	0	0	0	0	0	0	0	0
61 to 120	0	0	0	0	0	0	0	0	0	0
121 to 180	0	0	0	0	0	0	0	0	0	0
181 to 365	0	0	0	0	0	0	0	0	0	0
More than 365	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0

Completion Time of Consultations on Cabinet Confidences under the PA - Requests with Privy Council Office

Number of Days	Fewer Than 100 Pages Processed		101-500 Pages Processed		501-1,000 Pages Processed		1,001-5,000 Pages Processed		More Than 5,000 Pages Processed	
	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
1 to 15	0	0	0	0	0	0	0	0	0	0
16 to 30	0	0	0	0	0	0	0	0	0	0
31 to 60	0	0	0	0	0	0	0	0	0	0
61 to 120	0	0	0	0	0	0	0	0	0	0
121 to 180	0	0	0	0	0	0	0	0	0	0
181 to 365	0	0	0	0	0	0	0	0	0	0
More than 365	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0

4.1 Interpretation of the 2013-2014 Statistical Report on the *Privacy Act*

4.1.1 Part 1 – Requests under the *Privacy Act*

AECL received 16 new requests for information under the *Privacy Act* and one request was carried over from the last fiscal year. Of the 17 requests, 16 were completed and one was carried forward to the next fiscal year.

4.1.2 Part 2 – Requests Closed During the Reporting Period

Part 2.1 – Disposition and Completion Time

Of the 16 requests completed in 2013-2014, six requests were disclosed in part and eight others were disclosed in full. AECL was unable to process one request as the requested information did not exist within AECL's custody and control and the last request was abandoned.

Part 2.2 – Exemptions

Where access to certain information was denied, the following exemption was invoked:

Reason	Incidence
International Affairs and defence (s.21)	1
Law Enforcement and Investigation (s.22)	1
Safety of Individuals (s.25)	1
Personal Information (s.26)	6

Part 2.3 - Exclusions

No Exclusions were cited in fiscal year 2013-2014.

Part 2.4 – Format of Information Released

Access to the relevant documents for the 14 completed requests disclosed was provided in paper format for 13 cases and in electronic format for one case.

Part 2.5 – Complexity

Part 2.5.1 – Relevant Pages Processed and Disclosed

148 pages were processed and fully disclosed for eight requests. For the six disclosed-in-part requests, 1116 pages were processed and a total of 990 pages were disclosed.

Part 2.5.2 – Relevant Pages Processed and Disclosed by Size of Requests

All eight fully disclosed requests had less than 100 pages processed. One request that was disclosed in part had less than 100 pages processed and the other five requests had

101-500 pages processed.

Part 2.5.3 – Other Complexities

One processed request required consultation and none required legal advice or other complexities.

Part 2.6 – Deemed Refusals

AECL has no deemed refusal to report in the fiscal year 2012-2013.

Part 2.7 – Request for Translation

No translations were prepared during the period under review.

4.1.3 Part 3 – Disclosures under Subsection 8(2)

This fiscal year, no disclosures of personal information were made pursuant to *s. 8(2)(e)* (investigations provision), *8(2)(f)* (under an agreement or arrangement between the Government of Canada or an institution), *8(2)(g)* (to a member of Parliament) or *8(2)(m)* (public interest override provision) of the *Privacy Act*.

4.1.4 Part 4 – Requests for Correction of Personal Information and Notations

Neither corrections nor notations were requested during the period under review.

4.1.5 Part 5 – Extensions

One extension of 16 to 30 days was required for consultations with another government department during the period under review.

4.1.6 Part 6 – Consultations Received from Other Institutions and Organizations

No consultations were received from other institutions and organizations during the period under review.

4.1.7 Part 7 – Completion Time of Consultations on Cabinet confidences

No consultations on Cabinet confidences were required during the period under review.

4.1.8 Part 8 – Resources Related to the Privacy Act

Part 8.1 - Costs

Total salary costs associated with *Privacy Act* activities are estimated at \$205,490.00 for fiscal

year 2013-2014. Other operation and maintenance costs amounted to \$6,690.00 for a total of \$212,180.00.

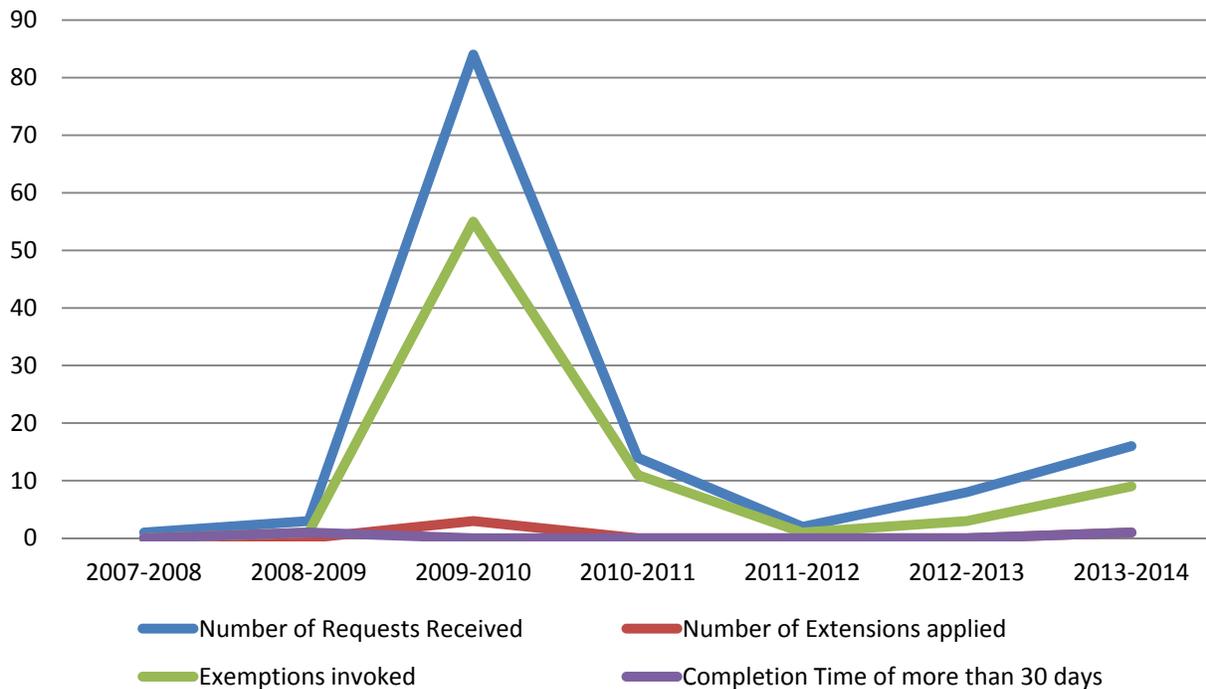
Part 8.2 – Human Resources

The associated full-time equivalency (FTE) human resource is 1.5.

4.1.9 Appendix A – Additional Reporting Requirements - Privacy Act

Two Privacy Impact Assessments were completed during the fiscal year 2013-2014. AECL did not process any Cabinet Confidences during the reporting year.

4.2 Multi-Year Trends



5. TRAINING

AECL’s ATIPO in collaboration with the Organizational Development and Training has implemented and registered the *Privacy Act* Awareness course under AWS-0030 to gain a total of three credit hours. This training is to inform AECL employees of the requirements of the *Privacy Act*. It is one of ATIPO’s objectives to ensure that all AECL employees understand their obligations to the requirements of the *Privacy Act*. In addition, the training provides details on tight deadlines and the consultation process with other levels of government. Briefing sessions form an integral part of the ATIP communications and training objectives.

Two formal training sessions on the *Privacy Act* were given to approximately 45 employees and senior managers from the Low-Level Waste Management Office in Ottawa and the Port Hope Area Initiative Office in Port Hope.

The ATIPO also focused on additional Privacy training this reporting year. A Privacy Module has been incorporated in the security awareness on-line orientation course for new employees.

Informal briefing sessions are also given regularly by the Director during the process of retrieval and review of documents in response to *Privacy Act* requests.

6. INSTITUTION-SPECIFIC POLICIES, GUIDELINES AND PROCEDURES RELATED TO PRIVACY

AECL did not implement any new policies, guidelines or procedures related to privacy during the reporting period. Therefore, several Privacy Statements have been added to institution-specific forms collecting personal information.

Additionally, AECL continuously work to identify the collection of personal information. The institution registered nine new “Standard Personal Information Banks (PIBs)” and updated nine “Institution Specific Personal Information Banks” with the Information and Privacy Policy Division at Treasury Board Secretariat.

Furthermore, the Information and Privacy Policy Division launched a pilot project working group in January 2012 to work on the initial implementation of decentralized publishing requirements of *Info Source*. Following the success of the pilot project, TBS required institutions to self-publish their *Info Source* chapters. Following this new requirement, AECL’s 2013-2014 *Info Source* Chapter has been published on AECL’s external website at <http://www.aecl.ca/en/home/news-and-publications/access-to-information/info-source.aspx>

7. COMPLAINTS AND INVESTIGATIONS

Applicants have the right to file a complaint pursuant to the *Privacy Act* and may exercise this right at any time during the processing of their request.

AECL received no privacy complaints and no audits or investigations were concluded during the reporting period 2013-2014.

8. MONITORING PROCESSING TIME

AECL utilizes Privasoft as a tool to monitor the time to process every privacy requests and requests for the correction of personal information. No other monitoring was necessary or conducted during the reporting period as 100% of the requests were completed on time.

9. PRIVACY BREACHES

No material privacy breaches occurred during the reporting period.

10. PRIVACY IMPACT ASSESSMENT

AECL completed the following two Privacy Impact Assessments (PIA) during this reporting period:

1. DevonWay IIR

The first PIA analyzed the potential privacy risks associated with the implementation of the Occupational Safety Health (OSH) Incident / Injury Reporting (IIR) module created by DevonWay. The privacy issues identified can be resolved through the development and documentation of appropriate procedures and processes that ensures compliance with the *Privacy Act*. The reporting of injury/illness sensitive data through the DevonWay IIR Module will only be used for a purpose that is compatible with the collection. OSH has demonstrated an ongoing commitment to the security and protection of its application. OSH departmental officials incorporated privacy as a core element.

2. Real Time Identification (RTID) System

The second PIA analyzed the potential privacy risks associated with the RTID system. RTID is a seamless electronic submission of fingerprint data to be used for AECL personnel security screening purposes. The PIA ensured that privacy was considered throughout the acquisition and implementation of the RTID. The RTID system is “Protected B” capable, improving the security and protection of the related personal information. All personal information transmitted to and from the RTID system is also encrypted. AECL has achieved accreditation through RCMP to submit this type of transaction. The privacy issues identified were resolved through the development and documentation of appropriate procedures and processes that ensured compliance with the Privacy Act. The use of sensitive biometric data through the RTID will only be used for the purpose it is collected. AECL has demonstrated an ongoing commitment to the security and protection of its RTID system and personal information involved.

As per the TBS Directive on Privacy Impact Assessment, the summaries of AECL’s PIAs are

available to the public on AECL's external website at <http://www.aecl.ca/en/home/news-and-publications/access-to-information/privacy-impact-assessment-summaries.aspx>

11. DISCLOSURES PURSUANT TO 8(2)(M)

This fiscal year, no disclosures of personal information were made pursuant to section 8(2)(e) - investigations provision, 8(2)(f) - under an agreement or arrangement between the Government of Canada or an institution, 8(2)(g) - to a member of Parliament or 8(2)(m) - public interest override provision of the *Privacy Act*.